



1F 1763

Effective on 12/08/04 <div style="text-align: center;">  </div>		Complete if Known	
		Application No.	09/916,164
		Filing Date	July 25, 2001
		First Inventor	Gundu M. Sabde
		Group Art Unit	1763
		Examiner Name	Sylvia MacArthur
<input type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)		Atty. Docket Number	500163.05
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)	
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No. 50-1266 ; Deposit Account Name: DORSEY & WHITNEY LLP . <input checked="" type="checkbox"/> Check Enclosed.		3. ADDITIONAL FEES	
Extra Claim Fees			
Current Claims	Prior	Extra	Fee
Total	20	x	\$ = \$0
Ind.	3	x	\$ = \$0
Multiple Dependent Claims		x	\$ = \$0
Subtotal (Extra Claims)			\$0
Petition Fee Under 37 CFR 1.17(f), (g), & (h)			
Enclosed is a Petition filed under 37 CFR as indicated below:			
<input type="checkbox"/> Petition Fee under 37 CFR 1.17(f)		Fee \$400	
§ 1.53(e)	to accord a filing date.		
§ 1.57(a)	to accord a filing date.		
§ 1.182	for decision on a question not provided for.		
§ 1.183	to suspend the rules.		
§ 1.378(e)	for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.		
§ 1.174(b)	to accord a filing date to an application under § 1.740 for extension of patent term.		
<input type="checkbox"/> Petition Fee under 37 CFR 1.17(g)		Fee \$200	
§ 1.12	for access to an assignment record.		
§ 1.14	for access to an application.		
§ 1.47	for filing by other than all inventors or person not the inventor.		
§ 1.59	for expungement of information.		
§ 1.103(a)	to suspend action in an application.		
§ 1.136(b)	for review of a request for ext. of time when § 1.136(a) not avail.		
§ 1.295	for review of refusal to publish a statutory invention registration.		
§ 1.296	to withdraw a req. for pub. after notice of intent to publish issued.		
§ 1.377	for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.		
§ 1.550(c)	for request for ext. of time in <i>ex parte</i> reexam. proceedings.		
§ 1.956	for request for ext. of time in <i>ex parte</i> reexam. proceedings.		
§ 5.12	for expedited handling of foreign filing license.		
§ 5.15	for changing the scope of a license.		
§ 1.5.25	for retroactive license.		
<input type="checkbox"/> Petition Fee under 37 CFR 1.17(h)		Fee \$130	
§ 1.19(g)	to request documents in a form other than provided in this part.		
§ 1.84	for accepting color drawings or photographs.		
§ 1.91	for entry of a model or exhibit.		
§ 1.102(d)	to make an application special.		
§ 1.138(c)	to expressly abandon an application to avoid publication.		
§ 1.313	to withdraw an application from issue.		
§ 1.314	to defer issuance of a patent.		
		Large Entity Fee	Small Entity Fee
		50	25
		130	65
		180	180
		40	40
		120	60
		450	225
		1,020	510
		1,590	795
		2,160	1,080
		790	395
		500	250
		500	250
		1,000	500
		130	65
		800	400
		790	395
		130	
		500	250
		1,500	750
		200	
		400	
		1,120	
		OTHER FEE (specify)	
		Subtotal (Additional Fees)	
		\$180	
		Total Amount of Payment:	
		\$180	
Submitted by:			
CUSTOMER NUMBER	DORSEY & WHITNEY LLP	1420 Fifth Avenue, Suite 3400 Seattle, WA 98101-4010 (206) 903-8800 phone / (206) 903-8820 fax	
27,076			
Name: Marcus Simon			Reg. No.: 50,258
Signature: 			Date: October 20, 2005



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

OCTOBER 20, 2005

Date

Carolyn L. Ross

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/916,164

Confirmation No. : 9145

Applicants : Gundu M. Sabde and Whonchee Lee

Filed : July 25, 2001

Attorney Docket No.: 500163.05

Art Unit : 1763

Customer No. : 27,076

Examiner : Sylvia MacArthur

Title : METHODS AND APPARATUSES FOR PLANARIZING MICROELECTRONIC
SUBSTRATE ASSEMBLIES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

I hereby certify that no item set forth on the attached form PTO-1449 was first cited in a communication from a foreign patent office in a counterpart foreign application and, to our knowledge, after making reasonable inquiry, no item of information set forth on the attached form PTO-1449 was known to any individual designated in 37 C.F.R. § 1.56(c) more than three

months prior to the filing of this Supplemental Information Disclosure Statement. (37 C.F.R. § 1.97(e)(2))

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(d). The Commissioner is authorized to charge any other fees, which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Marcus Simon
Registration No. 50,258

MS:clr

Enclosures:

- Postcard
- Check
- Fee Transmittal (+ copy)
- Copy of Information Disclosure Statement
- Form PTO-1449
- Cited References (1)

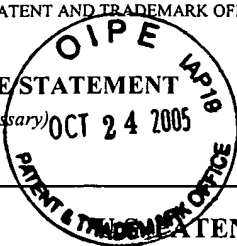
1420 Fifth Avenue, Suite 3400
Seattle, WA 98101
Telephone (206) 903-8800
Facsimile (206) 903-8820

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
500163.05APPLICATION NO.
09/916,164

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)



APPLICANT(S)

Gundu M. Sabde and Whonchee Lee

FILING DATE

July 25, 2001

GROUP ART UNIT

1763

DOMESTIC PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	http://www.homecare.noveoninc.com/products/carbopol_p.asp ; Carbopol Rheology Modifiers, Noveon Inc.
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).